

VIA FACSIMILE: 6 PAGES TOTAL**RECEIVED
CENTRAL FAX CENTER****MAY 05 2006**

Mail Stop Missing Parts
Office of Initial Patent Examination
Filing Receipt Corrections
P.O. Box 1450
Alexandria, VA 22313-1450

May 5, 2006

Re: Applicant(s): Nielsen, James T.; et. al.
Assignee: Cardica, Inc.
Title: Surgical System and Method for Connecting Hollow Tissue
Structures
Serial No.: 10/720,618
Examiner: Not yet assigned
Docket No.: 142

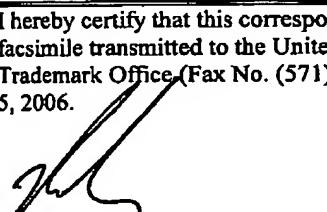
Filed: November 24, 2003
Group Art Unit: 3721

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Request for Corrected Filing Receipt, including copy of Official Filing Receipt of U.S. Patent Application Serial No. 10/151,441; and
- (3) Copy of Official Filing Receipt mailed March 23, 2004, with corrections indicated thereon.

Please charge Deposit Account 502108 for any fees required, and credit deposit account 502108 any amounts overpaid.

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. (571) 273-8300) on May 5, 2006.


Attorney for Cardica, Inc.

Respectfully submitted,


Brian A. Schar
Reg. No. 45,076
Cardica, Inc.
900 Saginaw Drive
Redwood City, CA 94063
(650) 331-7162

Docket No.: 142

May 5, 2006 **RECEIVED**
CENTRAL FAX CENTER**MAY 05 2008**

Office of Initial Patent Examination
Filing Receipt Corrections
Alexandria, VA 22313-1450

Re: Applicant(s): Nielsen, James T.; et. al.
Assignee: Cardica, Inc.
Title: Surgical System and Method for Connecting Hollow Tissue
Structures
Serial No.: 10/720,618
Examiner: Not yet assigned
Docket No.: 142

Filed: November 24, 2003
Group Art Unit: 3721

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

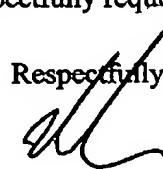
Enclosed is a copy of the Official Filing Receipt marked to show that correction is needed. The section entitled "Domestic Priority data as claimed by applicant" should read:

This application is a CIP of 10/607,524 06/26/2003
which is a CIP of 10/392,336 03/19/2003
which is a CIP of 10/151,441 05/20/2002
—which is a CIP of 09/363,255 07/28/1999 PAT 6,391,038—
This application 10/720,618
claims benefit of 60/483,078 06/26/2003

In support of this change, the filing receipt of U.S. Patent Application Serial No. 10/151,441 is enclosed, showing that patent application is a CIP of 6,391,038.

Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,



Brian A. Schar
Reg. No. 45,076
Director of Intellectual Property
Cardica, Inc.
(650) 331-7162



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/720,618	11/24/2003	3721	1023	142	88	67	8

Brian A. Schar
 Cardica, Inc.
 900 Saginaw Drive
 Redwood City, CA 94063

CONFIRMATION NO. 4252
FILING RECEIPT

 OC000000011952938

Date Mailed: 03/23/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

James T. Nielsen, San Francisco, CA;
 Nathan H. White, Palo Alto, CA;
 Theodore M. Bender, San Francisco, CA;
 Philippe R. Manoux, San Francisco, CA;
 David L. Bombard, San Francisco, CA;
 Brendan M. Donohoe, San Francisco, CA;
 Bryan D. Knodel, Flagstaff, AZ;

Domestic Priority data as claimed by applicant

This application is a CIP of 10/607,524 06/26/2003

which is a CIP of 10/392,336 03/19/2003

which is a CIP of 10/151,441 05/20/2002

This application 10/720,618

claims benefit of 60/483,078 06/26/2003

which is a CIP of 09/363,255

07/28/1999 PAT 6,391,038

Foreign Applications

If Required, Foreign Filing License Granted: 02/23/2004

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

**** SMALL ENTITY ****

Title

Surgical system and method for connecting hollow tissue structures

Preliminary Class

606

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/151,441	05/20/2002	3731	1081	108	26	85	6

CONFIRMATION NO. 4791

Brian Schar
 Chief Patent Counsel
 Cardica, Inc.
 171 Jefferson Drive
 Menlo Park, CA 94025

FILING RECEIPT



OC00000008331966

Date Mailed: 06/21/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David L. Bombard, San Francisco, CA;
 Bryan D. Knodel, Flagstaff, AZ;
 Jaime S. Vargas, Menlo Park, CA;
 Michael J. Hendrickson, Redwood City, CA;
 Stephen A. Yencho, Menlo Park, CA;
 James T. Nielsen, San Francisco, CA;
 Bernard A. Hausen, Menlo Park, CA;
 Brendan M. Donohoe, San Francisco, CA;
 Theodore M. Bender, San Francisco, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/363,255 07/28/1999 PAT 6,391,038

Foreign Applications

If Required, Foreign Filing License Granted 06/21/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

** SMALL ENTITY **

Title

System for performing anastomosis

Preliminary Class

606

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).